# **Functional Effects**

Part 2

learn about functional effects through the work of



John A De Goes

@jdegoes





In this slide deck we go through the following two sections of **One Monad to Rule Them All**, a great talk by **John A De Goes**:

- Intro to Functional Effects
- Tour of the Effect Zoo







John A De Goes





https://www.slideshare.net/jdegoes/one-monad-to-rule-them-allhttps://youtu.be/POUEz8XHMhE

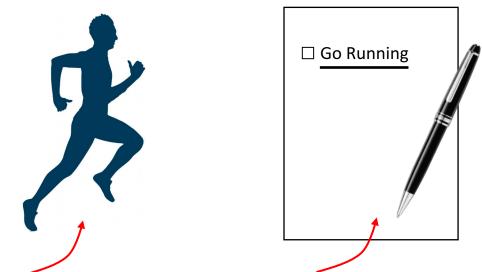


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Every **effect** can be thought of as **doing something**.

If we want to turn that into a <u>value</u>, then <u>instead of doing something</u>, we turn that into a <u>description</u> of <u>doing something</u>.



So instead of going running, we'll turn that into a piece of paper that says go running on it.

Only, in Scala we don't actually write on a piece of paper, we end up building a data structure that describes the act of going running.



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I'll give you a very simple example here of a little program that has effects in it.

```
def monitor: Boolean = {
   if (sensor.tripped) {
      securityCompany.call()
      true
   } else false
}
```

It is actually <u>doing stuff</u> and this is <u>not a value</u> right now. This is a <u>side effecting</u> <u>procedure</u>. It's a method called <u>monitor</u> and what it does is it checks to see if a sensor is tripped and if it is tripped it calls the security company returning true, otherwise if the sensor is not tripped it returns false.

Now this is a piece of <u>side effecting</u> code. The simplest possible way for us to <u>transform this into a value</u> is to build a <u>mini language</u>.

We will build a <u>data structure</u>, that's that <u>sheet of paper</u>, that will allow us to <u>describe</u> these operations.



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You can do that more or less by rote. In this case I am going to call this <u>data structure</u> an <u>alarm</u>, and this <u>alarm</u> is going to be a <u>sealed trait</u>, so it's going to be an <u>enumeration</u>, a <u>sum type</u>, and it is going to have <u>three different instructions</u> in it

It is going to have a Return instruction, which returns a <u>value</u>, it is going to have a <u>CheckTripped instruction</u> which allows us to look and see if the sensor has been tripped and to choose to <u>return different Alarms</u> in the case that it is tripped or not tripped, and finally it is going to have a <u>Call instruction</u> that allows us to <u>describe the act of</u> calling the security company, as well as whatever we want to do after calling the security company.



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Now, using this extraordinarily simple, <u>immutable</u> <u>data structure</u>, <u>we can create a model</u> of the <u>side</u> <u>effecting</u> <u>program</u> that you saw before. And it is quite simple, the type of our <u>value</u> will be <u>Alarm</u> of <u>Boolean</u>, this is an ordinary, <u>immutable value</u>. And what we do is we use the <u>CheckTripped operation</u> as the first <u>operation</u> in our program. And we pass it a function that will be passed a <u>Boolean</u> value, whether or not the sensor was tripped, and if it was tripped we are going to <u>Return</u> another <u>Alarm</u>, value, which is going to call the security company and then <u>Return</u> <u>true</u>, and if the sensor is not tripped we are just going to immediately <u>Return</u> <u>false</u>.

```
val check: Alarm[Boolean] =
  CheckTripped( tripped =>
    if (tripped)
       Call(Return(true))
    else
       Return(false)
)
```

We have created a <u>declarative description</u> of the preceding <u>side effecting program</u>. There are no <u>side</u> <u>effects</u> here, everything is a <u>data structure</u> and in fact it is an <u>immutable</u> <u>data structure</u>.

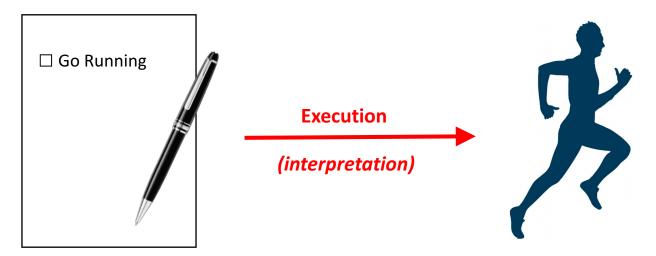
Now, this <u>value</u> is useful as an intermediate form in our program, because now <u>we can store it in data</u> structures, we can accept it in our functions, we can return it from our functions, we can build combinators that act on values of this type, however, <u>it is not actually going to interact with the real</u> world.



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To <u>interact</u> with the real world, we need to <u>interpret</u> this <u>data structure</u> into the <u>side effects</u> that it <u>represents</u>. And this is called <u>execution</u>, or <u>interpretation</u>.



To do this in the case of the **Alarm** <u>data structure</u>, we simply match against the three different cases, and if it is **Return**, we **return that value**, if it is **CheckTripped**, we do what we did before, **we check if the sensor is tripped**, we feed that result into f, <u>and then we interpret the result of calling that</u>. And then finally, for **Call**(next) we **call the security company** <u>and then we interpret the remainder of the program</u>.

```
def interpret[A](alarm: Alarm[A]): A = alarm match {
   case Return(v) => v
   case CheckTripped(f) => interpret(f(sensor.tripped))
   case Call(next) => securityCompany.call(); interpret(next)
}
```



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```
def interpret[A](alarm: Alarm[A]): A = alarm match {
   case Return(v) => v
   case CheckTripped(f) => interpret(f(sensor.tripped))
   case Call(next) => securityCompany.call(); interpret(next)
}
```

This <u>interpret function</u> is not a pure function, it takes this <u>immutable</u> <u>data structure</u> and it <u>interprets</u> it into the <u>side effects</u> that it describes, allowing us to regain the sort of real world practicality of the preceding program, without sacrificing the fact that now we can use this data structure in most places in our program.

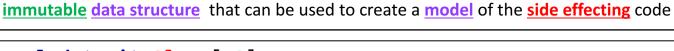
So this is a typical example of what a **functional effect** is, but in general:

"A **functional effect** is an **immutable data type** equipped with a set of core **operations** that together provide a complete, type-safe model of a **domain concern**."

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Every single functional effect out there satisfies that definition. They look quite different. Not all of them look like Alarm. I specifically chose Alarm because you have never seen anything like it before and probably never will again. It is not very realistic but it is an example of a <u>functional effect</u>, because we had our <u>data type</u>, it was <u>immutable</u>, it had three different <u>operations</u> in it and together <u>we were able to</u> create a complete model of the preceding side-effecting code.





```
quick recap
```

1 a piece of side effecting code

immutable data structure (no side effects here) that is a declarative description of the side effecting code

```
def monitor: Boolean = {
   if (sensor.tripped) {
      securityCompany.call()
      true
   } else false
}
```

```
val check: Alarm[Boolean] =
  CheckTripped( tripped =>
   if (tripped)
      Call(Return(true))
   else
      Return(false)
)
```

3)

impure function that takes the immutable data structure and interprets it into the side effects that it describes

```
def interpret[A](alarm: Alarm[A]): A = alarm match {
   case Return(v) => v
   case CheckTripped(f) => interpret(f(sensor.tripped))
   case Call(next) => securityCompany.call(); interpret(next)
}
```

```
sealed trait Alarm[+A]
                                                                            def interpret[A](alarm: Alarm[A]): A = alarm match {
case class Return
                       [A](v
                              : A)
                                                        extends Alarm[A]
                                                                              case Return(v) => v
case class CheckTripped[A](f : Boolean => Alarm[A]) extends Alarm[A]
                                                                              case CheckTripped(f) => interpret(f(sensor.tripped))
case class Call [A](next: Alarm[A])
                                                        extends Alarm[A]
                                                                              case Call(next) => securityCompany.call(); interpret(next)
             | sensor.tripped|= true 🝝
                                                           Let's have a go at using the
                                                                                                      sensor.tripped_= false
                                                           interpret function:
 interpret(
                                                           • once when the sensor is
                                                                                          interpret(
   CheckTripped( tripped =>
                                                             tripped
                                                                                            CheckTripped( tripped =>
      if (tripped)

    once when the sensor is

                                                                                               if (tripped)
        Call(Return(true))
                                                             not tripped -
                                                                                                 Call(Return(true/)
      else
                                                                                               else
        Return(false)
                                                                                                 Return(false)
  interpret //
                                                                                           interpret(
                                                                                             if (false)
    if (true)
                                                              @philip_schwarz
      Call(Return(true))
                                                                                               Call(Return(true))
                                                                                             else
    else
      Return(false)
                                                                                               Return(false)
                                                                                         interpret(
interpret(
  Call(Return(true))
                                                                                           Return(false)
securityCompany.call(); interpret(next)
                                                                                                               false
securityCompany.call(); interpret(Return(true))
interpret(Return(true))
                       true
```



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For every **concern** out there, there already exists, or you can create, a **functional effect** to describe that domain, and I'll give you some common examples:

Concern	Effect	Execution
Optionality	Option[A]	null or A
Disjunction	<pre>Either[A,B]</pre>	A or B
Nondeterminism	List[A]	Option[A]
Input/Output	IO[A]	throw or A

If your concern is optionality, that is you want to compute and sometimes you are going to try and compute something but it is not going to be there, then you can use the effect called Option, which is built into Scala. It's a <u>functional effect</u>. It's an <u>immutable data type</u> and it has a set of <u>instructions</u>, operations, that allow us to build up programs that use <u>the feature of that <u>functional effect</u></u>, which is <u>optionality</u>. And like all <u>functional effects</u>, we <u>execute</u> it, and at the end of the day, when we <u>execute</u> it, we get back either nothing, if it wasn't there, or we get back the A that was in the Option.

**Disjunction**, is another **concern**. So in some class of computation, we'll either produce one type of result or a different type of result entirely. An example would be **errorful computation**, so computation that can fail with some specific error. That's an example of **the effect of disjunction**, right? We are either going to **fail** with something on the **left** or we are going to **succeed** with something on the **right**. And this **functional effect** is also built into **Scala** using the **Either** data type. And when we **execute** it we either get back a **Left** of an A or we get back a **Right** of a B.



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Concern	Effect	Execution
Optionality	Option[A]	null or A
Disjunction	Either[A,B]	A or B
Nondeterminism	List[A]	Option[A]
Input/Output	IO[A]	throw or A

Nondeterminism, less frequently used, is to do, for example, search, to solve problems in search, where we are looking for a solution satisfying a particular requirements, there is the List data type, of course we use the List data type just for ordinary storing of data, but we can also use it as a <u>functional effect</u>, a <u>functional effect</u> that allows us to explore possible solutions to a given problem and to filter those by one satisfying a given set of conditions. And when we <u>execute</u> or <u>interpret</u> that <u>effect</u>, we'll either get back a solution, or maybe our top ranked solution, or no solution at all, if no solutions were found, which corresponds to calling headOption on a List.

And then also another example of a <u>functional effect</u> not baked into <u>Scala</u> but also extremely important is the <u>effect</u> of <u>Input/Output</u>. So, when our programs interact with the external world, that <u>functional effect</u> is described by <u>IO-like</u> data types. So <u>Cats IO</u>, <u>Monix Task</u>, <u>ZIO's ZIO</u> data type and so on, <u>Scalaz 7's Task</u> type, <u>all these allow us to describe input/output <u>effects</u>, <u>effects</u> between our program and the external sorrounding <u>environment</u>. And when we <u>execute</u> them, <u>which is not a functional operation</u>, we either get back some <u>exception</u>, some code failed, or we get back the <u>A value</u> that they succeeded with.</u>

## **Optionality**

(the Painful Way)

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#### A functional effect for optionality

So I am going to give you an example of, a single fully worked example of a <u>functional effect</u>, and this is the <u>effect</u> of optionality, but it's in a way you have never seen before.

You know what the **Option** data type looks like in **Scala**. It has either **Some** or **None**, right? <u>It is a simplification of the real deal that we are going to look at now</u>.

The real deal is a full model of the <u>functional effect</u> of optionality. I'll talk about the relationship with **Option** at the end.

So I'll call this data type Maybe. This is going to be a <u>functional effect</u> for <u>optionality</u>, so if we are <u>concerned</u> with <u>things that may or may not be there</u>, this is the <u>functional effect</u> that we want to use.

A Maybe[A] can succeed with values of type A. However it can also fail to produce any value of type A.

### Maybe[A]

succeeds with values of type A



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We are going to need four different **operations** to completely describe this **functional effect**:

Operation	Signature	
Present	A => Maybe[A]	
Absent	Maybe[Nothing]	
Map	(Maybe[A], A ⇒ B) ⇒ Maybe[B], ←	
Chain	(Maybe[A], A => Maybe[B]) => Maybe[B],	

One **operation**, which I'll call **Present**, allows us to **take an** A **and stick it inside a Maybe of** A. This is when we have something and we want to stick it inside the **functional effect** to represent the fact that it's there.

Absent on the other hand is when we don't have anything but we need to create a Maybe that has some type. We are going to use that operation when we don't have it and we want to indicate that. We want to indicate that we don't have a value of that type, so we use the Absent operation.

The Map operation is when we have a Maybe of A and we also want to map that A into a B by supplying a function, so the pair of a Maybe of A and a function from A to B, you provide the Map operation those two things, and you get back a Maybe of B.

And then finally the **Chain operation** will be used when we have a **Maybe** of A and then based on that A we want to produce a **Maybe** of B, for some type B, and we want to combine both the **Maybe** of A together with that callback, into a single **Maybe** of B.



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In order to implement this <u>functional effect</u>, all we have to do is define a <u>sealed trait</u> with the four <u>operations</u> we know we need:

- The Present operation simply stores the A.
- The Absent operation doesn't store anything.
- The Map operation stores the Maybe and the mapper function.
- And then the Chain operation stores the Maybe and then the callback.

Once we have defined these four **operations**, we can then define **map** and **flatMap** on the **Maybe** data type:

```
sealed trait Maybe[+A] { self =>
  def map[B](f: A => B): Maybe[B] = Map(self, f)
  def flatMap[B](f: A => Maybe[B]): Maybe[B] = Chain(self, f)
  ...
}
```

Furthermore, we can define Present and Absent on the maybe companion object:

```
object Maybe {
  def present[A](value: A): Maybe[A] = Present(value)
  val absent: Maybe[Nothing] = Absent
}
```



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We now have everything necessary to define an <u>interpreter</u> for the <u>effect</u> of <u>optionality</u>. This <u>interpreter</u> matches the <u>Maybe</u> data type, and it has to handle each of the four cases.

```
def interpret[Z, A](ifAbsent: Z, f: A => Z)(maybe: Maybe[A]): Z =
   maybe match {
    case Present(a) => f(a)
    case Absent => ifAbsent
    case Map(old, f0) => interpret(ifAbsent, f.compose(f0))(old)
    case Chain(old, f) => interpret(ifAbsent, a => interpret(ifAbsent, f)(f(a)))(old)
}
```

This function is going to <u>interpret</u> it (the <u>Maybe[A]</u>) to some type Z and the user who is <u>interpreting</u> this data type, has to supply some function or some value called <u>ifAbsent</u>, which <u>will be returned in the event that the computation fails to produce a value of type A</u>. And also they have to supply <u>another function</u>, which I am calling <u>f</u> here, it could be called <u>ifPresent</u>, which <u>will be called if the computation succeeds to produce an A</u>. And in the end the <u>interpreter</u> is going to return a <u>Z</u>...



In the **interpret** function above, the names of the fields of **Map** and **Chain** differ from their corresponding names in the **Maybe** trait (defined in the previous slide), and this may be confusing, so here is the trait again, just for reference.



Here are the errors I got when I tried to compile the **interpret** function. See next slide for how I addressed them.

```
def interpret[Z, A](ifAbsent: Z, f: A ⇒ Z)(maybe: Maybe[A]): Z =
    maybe match {
    case Present(a) ⇒ f(a)
    case Absent ⇒ ifAbsent
    case Map(old, f0) ⇒
        interpret(ifAbsent, f.compose(f0))(old)
    case Chain(old, f) ⇒
        interpret(ifAbsent, a ⇒ interpret(ifAbsent, f)(f(a)))(old)
    }
}
```



Here on the left is John's original **interpret** function, and on the right you can see the changes that I made to get it to compile and to make it slightly easier for me to understand.

@philip\_schwarz

```
def interpret[Z, A](ifAbsent: Z, f: A => Z)(maybe: Maybe[A]): Z =
  maybe match {
    case Present(a) => f(a)
    case Absent => ifAbsent
    case Map(old, f0) =>
        interpret(ifAbsent, f.compose(f0))(old)
    case Chain(old, f) =>
        interpret(ifAbsent, a => interpret(ifAbsent, f)(f(a)))(old)
  }
```

```
def interpret[Z, A, B](ifAbsent: Z, f: B => Z)(maybe: Maybe[B]): Z =
  maybe match {
    case Present(b) => f(b)
    case Absent => ifAbsent
    case Map(old: Maybe[A], g: (A => B)) =>
        interpret(ifAbsent, f compose g)(old)
    case Chain(old: Maybe[A], g: (A => Maybe[B])) =>
        interpret(ifAbsent, (a:A) => interpret(ifAbsent, f)(g(a)))(old)
  }
```

```
def interpret[Z, A](ifAbsent: Z, f: A \Rightarrow Z)(maybe: Maybe[A]): Z =
                                                                                             (( 0)
                                                                                                     def interpret[Z, A, B](ifAbsent: Z, f: B \Rightarrow Z)(maybe: Maybe[B]): Z =
  maybe match {
                                                                                                       maybe match {
    case Present(a) \Rightarrow f(a)
                                                                                                         case Present(b) \Rightarrow f(b)
                                                                                            //
    case Absent ⇒ ifAbsent
                                                                                                         case Absent ⇒ ifAbsent
    case Map(old, f0) \Rightarrow
                                                                                            <<
                                                                                                          case Map(\text{old: Maybe}[A], g: (A \Rightarrow B)) \Rightarrow
      interpret(ifAbsent, f.compose(f0))(old)
                                                                                                            interpret(ifAbsent, f compose g)(old)
    case Chain(old, f) \Rightarrow
                                                                                                          case Chain(old: Maybe[A], g: (A \Rightarrow Maybe[B])) \Rightarrow
       interpret(ifAbsent, a \Rightarrow interpret(ifAbsent, f)(f(a)))(old)
                                                                                                            interpret(ifAbsent, (a:A) \Rightarrow interpret(ifAbsent, f)(g(a)))(old)
```

```
def interpret[Z, A](ifAbsent: Z, f: A => Z)(maybe: Maybe[A]): Z =
                                                                                 def interpret[Z, A, B](ifAbsent: Z, f: B => Z)(maybe: Maybe[B]): Z =
maybe match {
                                                                                   maybe match {
         case Present(a) => f(a)
                                                                                     case Present(b) => f(b)
case Absent => ifAbsent
                                                                                     case Absent => ifAbsent
         case Map(old, f0) =>
                                                                                     case Map(old: Maybe[A], g: (A => B)) =>
           interpret(ifAbsent, f.compose(f0))(old)
                                                                                      interpret(ifAbsent, f compose g)(old)
          case Chain(old, f) =>
                                                                                     case Chain(old: Maybe[A], g: (A => Maybe[B])) =>
           interpret(ifAbsent, a => interpret(ifAbsent, f)(f(a)))(old)
                                                                                       interpret(ifAbsent, (a:A) => interpret(ifAbsent, f)(g(a)))(old)
```



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```
def interpret[Z, A, B](ifAbsent: Z, f: B => Z)(maybe: Maybe[B]): Z =
  maybe match {
    case Present(b) => f(b)
    case Absent => ifAbsent
    case Map(old: Maybe[A], g: (A => B)) =>
        interpret(ifAbsent, f compose g)(old)
    case Chain(old: Maybe[A], g: (A => Maybe[B])) =>
        interpret(ifAbsent, (a:A) => interpret(ifAbsent, f)(g(a)))(old)
}
```

...this function is <u>polymorphic</u> in **Z**, so it ends up getting a **Z**, either from **ifAbsent**, if there was no **A** inside that **Maybe**, or it gets it from **f** if there was an **A** inside that **Maybe**. It is going to get it from one of those two places and end up returning that **Z**. How it does this is it matches against the **Maybe** data type:

- If the B is present it calls f(b) to immediately return a Z
- If the B is absent, then it just returns the **ifAbsent** value, to return the **default**.
- If the case is Map, then it interprets the thing that is being mapped and composes the mapper function g together with the f function and passes along the ifAbsent default value
- And then finally in the case of **Chain** it's another relatively straightforward **recursion**, it just passes things along, drills down into the inner data structure and then maps the output by the **f** function.

So you can follow the types here if you want to do this, the compiler will help you write this function, you don't have to think about the implications, just try to get the types right and you'll end up with something that is correct.

Here again is the Maybe trait, just for reference



```
def interpret[Z, A, B](ifAbsent: Z, f: B => Z)(maybe: Maybe[B]): Z =
   maybe match {
    case Present(b) => f(b)
    case Absent => ifAbsent
    case Map(old: Maybe[A], g: (A => B)) =>
        interpret(ifAbsent, f compose g)(old)
    case Chain(old: Maybe[A], g: (A => Maybe[B])) =>
        interpret(ifAbsent, (a:A) => interpret(ifAbsent, f)(g(a)))(old)
}
```

Again, this is the slightly modified interpret fuction.



#### A <u>functional effect</u> for <u>optionality</u>



John A De Goes



So this interprets the four cases and notice how it is much more complex than the Option type built into Scala. Why?

The Option type built into Scala only has two cases. And that's because the Option type built into Scala does <u>just-in-time</u> <u>interpretation</u> of the instructions map and flatMap:

```
sealed abstract class Option[+A] extends ... { self =>
...
def get: A
...
@inline final def map[B](f: A => B): Option[B] =
   if (isEmpty) None else Some(f(this.get))
...
@inline final def flatMap[B](f: A => Option[B]): Option[B] =
   if (isEmpty) None else f(this.get)
...
}
```

```
object Option {
...
def apply[A](x: A): Option[A] =
   if (x == null) None else Some(x)

def empty[A] : Option[A] = None
...
final def isEmpty: Boolean = this eq None
...
}
```

Rather than building up a full description of the <u>effect</u>, what happens is that it takes <u>shortcuts</u>. The <u>map</u> and <u>flatMap</u> on <u>Option</u> will look a that data type and if it is <u>None</u> for example, it will immediately return <u>None</u>. If it is <u>Some</u>, it will immediately deconstruct that <u>Some</u>, apply your mapper function to it and return a new <u>Some</u>.

So in essence, what is happening is the Option data type in Scala, even though it is a <u>functional effect</u>, it is doing a type of <u>just-in-time</u> <u>interpretation</u>, it is taking <u>shortcuts</u> and returning you a maximally reduced data structure right away, which is why <u>it can afford to be a whole lot</u> <u>simpler</u> than the version I have shown you.

But keep in mind that is a simplification and in many types of real world functional effects, you can't make that simplification, you need to store severy single instruction that you want to expose to the end user of your API.

```
def present[A](value: A): Maybe[A] =
                                                                      maybe match {
    Present(value)
                                                                        case Present(b) => f(b)
 val absent: Maybe[Nothing] =
                                                                        case Absent => ifAbsent
    Absent
                                                                        case Map(old: Maybe[A], g: (A => B)) =>
                                                                           interpret(ifAbsent, f compose g)(old)
                                             philip_schwarz
                                                                        case Chain(old: Maybe[A], g: (A => Maybe[B])) =>
sealed trait Maybe[+A] { self =>
                                                                           interpret(ifAbsent, (a:A) => interpret(ifAbsent, f)(g(a)))(old)
  def map[B](f: A => B): Maybe[B] = Map(self, f)
 def flatMap[B](f: A => Maybe[B]): Maybe[B] = Chain(self, f)
case class Present[A](value: A)
                                                                    extends Maybe[A]
case object Absent
                                                                    extends Maybe[Nothing]
case class
             Map[A, B](maybe: Maybe[A], mapper: A => B)
                                                                    extends Maybe[B]
case class Chain[A, B](first: Maybe[A], callback: A => Maybe[B]) extends Maybe[B]
val increment: Int => Int = n => n + 1
                                                                    def headMaybe: List[Int] => Maybe[Int] =
val double: Int => Int = n => 2 * n
                                                                       as => if (as.isEmpty) Maybe.absent else Maybe.present(as(0))
// Option.empty[Int].fold(0)(double)
                                                                    // Some(List(1,2,3)).flatMap( .headOption).fold(0)(double)
assert( interpret(0, double)(Maybe.absent) == 0)
                                                                    assert( interpret(0, double)
                                                                       (Maybe.present(List(1,2,3)).flatMap(headMaybe)) == 2)
// Option.empty[Int].map(increment).fold(0)(double)
val noInt: Maybe [Int] = Maybe.absent
                                                                    // Some(List(1,2,3)).flatMap( .headOption).map(increment).fold(0)(double)
assert( interpret(0 , double)(noInt.map(increment)) == 0)
                                                                    assert( interpret(0, double)(Maybe.present(List(1,2,3))
                                                                      flatMap { xs => headMaybe(xs)
// Option.empty[List[Int]].flatMap( .headOption).fold(0)(double)
                                                                          map { y => increment(y) } }
val noIntList: Maybe [List[Int]] = Maybe.absent
                                                                    ) == 4)
assert( interpret(0 , double)(noIntList.flatMap(headMaybe)) == 0)
                                                                    // Some(List(1,2,3)).flatMap( .headOption).map(increment).fold(0)(double)
                                                                    assert( interpret(0, double)(
// Some(123).fold(0)(double)
assert( interpret(0, double)(Maybe.present(123)) == 246)
                                                                      for {
                                                                        xs <- Maybe.present(List(1, 2, 3))</pre>
// Some(123).map(increment).fold(0)(double)
                                                                        y <- headMaybe(xs)</pre>
assert( interpret(0, double)(Maybe.present(123).map(increment)
                                                                      } yield increment(y)
) == 248)
                                                                      == 4)
```

def interpret[Z, A, B](ifAbsent: Z, f: B => Z)(maybe: Maybe[B]): Z =

Let's have a go at using Maybe

object Maybe {

```
def monitor: Boolean = {
   if (sensor.tripped) {
      securityCompany.call()
      true
   } else false
}
```

```
val check: Alarm[Boolean] =
  CheckTripped( tripped =>
   if (tripped)
      Call(Return(true))
   else
      Return(false)
)
```



Note how earlier, when we wanted to model the <u>side-effecting</u> code that sent an email if a sensor was tripped, we created the <u>model</u> by manually putting together a <u>data structure</u> ourselves...

...whereas when it comes to **modeling** code exhibiting **optionality**, we programmatically call **Maybe** functions that create the <u>data structure</u> (**model**) for us.

```
Maybe.present(List(1,2,3)) flatMap { xs ->
  headMaybe(xs) map { y =>
    increment(y)
  }
}
```

```
for {
    xs <- Maybe.present(List(1, 2, 3))
    y <- headMaybe(xs)
} yield increment(y)</pre>
```

Every common operation in a <u>functional effect</u> system has a corresponding type class in functional programming. You don't need to know this, but it is helpful to know this, if you have ever used type classes from Cats or Scalaz, you have run into Applicative and Functor and Monad and Apply and MonadPlus and lots of other type classes. It turns out that every single type class gives you an operation that you can use in your functional effect. More powerful <u>functional effects</u> have more operations. And the set of all operations given to you by your <u>functional effect</u> type determines how powerful it is and what types of things you can do with it.

Operation	Signature	Type Class
pure/point	A => F[A]	Applicative
empty/zero	F[Nothing]	MonadPlus
map	$(F[A], A \Rightarrow B) \Rightarrow F[B]$	Functor
flatMap	(F[A], A => F[B]) => F[B]	Monad
zip/ap	$(F[A], F[B]) \Rightarrow F[(A,B)]$	Apply

The pure or point operation from Applicative gives you the ability to take an A and lift it up into your <u>functional effect</u> system. It is the equivalent of returning a value inside your <u>functional effect</u>. It is the Some constructor in Option. It is the List singleton's constructor in List. It is the Future successful in Future. And so forth.

empty or zero, some types have this notion of an empty or failure type.

Other types have the ability to map over them. All <u>functional effects</u>, almost all, have the ability to map over their contents, which corresponds to taking that return statement and changing it into a value of another type, turning an Option of an Int into an Option of a String by converting the Int to a String.



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@idegoes

Operation	Signature	Type Class
pure/point	A => F[A]	Applicative
empty/zero	F[Nothing]	MonadPlus
map	$(F[A], A \Rightarrow B) \Rightarrow F[B]$	Functor
flatMap	(F[A], A => F[B]) => F[B]	Monad
zip/ap	$(F[A], F[B]) \Rightarrow F[(A,B)]$	Apply



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flatMap is a very powerful capability allowing you to chain two <u>functional effects</u> together in sequence such that the second <u>functional effect</u> depends on the runtime value produced by the first. When you call flatMap, you supply the first <u>functional effect</u> and then you also specify a callback and that callback will be called with the value of the first <u>functional effect</u>, assuming <u>one is ever produced</u>. Of course some <u>functional effects</u>, like <u>Option</u>, can fail, in which case they'll <u>never call your callback</u>, but also some functional effects like <u>Future</u>, for example, can <u>succeed at some point in the future</u>, in which case your callback will be called and you'll get a chance to return the rest of your computation and <u>the flatMap operation is responsible for fusing those two things together</u>, the old <u>functional effect</u> and its chained successor, into a single <u>functional effect</u>.

And then finally zip, otherwise known as ap, is capable of taking two <u>functional effects</u>, F[A] and F[B] and zipping them together to get an F of a tuple of of A and B. It is not as powerful as <u>flatMap</u> but it is still a powerful operation and it is the minimum needed to have compositional semantics on your functional effect, you need to zip two options together, zip two parsers together, zip two futures together, you need the ability to take two different effects and combine them together into a single effect to solve most classes of problems.

Nearly all <u>functional effects</u> in existence support the <u>pure/point</u> operation, the <u>map</u> operation and then <u>zip</u>. If you don't support <u>zip</u>, if you just support <u>pure</u> and <u>map</u>, it is not that useful, of a <u>functional effect</u>, almost all of your functional effects out there are going to support at least <u>zip</u> and some extremely powerful ones support <u>Monad</u> which gives you flatMap, which allows you to do two operations in sequence such that the second one depends on the runtime value produced by the first one.



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A lot of <u>functional effects</u> support flatMap, a lot. Parsers, Futures, Options, Lists, all kinds of <u>functional effects</u> support this capability. Even the Alarm one that I showed you supports this capability. And that's because a lot of the real world is sequential. You do something and then you do something later and the thing that you do later depends on what you did before. Depends on the result of what you did before. That is a sequential flow, it is the most complex kind of sequential flow, because it is context sensitive. <u>You can change your mind and do different things based on what happened before</u>. That's flatMap. That's Monadic.

As a result, Scala actually has a special syntax for data types that support flatMap and map and this is the for comprehension syntax, and it reads very procedurally:

```
lookupUser(userId).flatMap(user =>
  user.profile.flatMap(profile =>
    profile.picUrl.map(pic =>
    pic)))
```

```
case class User(profile: Option[Profile])
case class Profile(picUrl: Option[Url])
case class Url(value: String)
def lookupUser(userId: Int): Option[User] = ???
var userId = ???
```

You are going to look up your user, and then you are going to get their profile and then you are going to get their picture url, and you can imagine all these things returning Option. And Scala will desugar this into a bunch of flatMaps, followed by a final map, allowing you to use functional effects that support sequentiality in a way whose visual appearance resembles that sequential flow of operations, with the scoping rules that you would expect, that is to say, inside this for comprehension on the left, in the line that says pic, I have access to both profile and user, I have access to both of those variables in that scope. In the line that says profile I have access to user, and in the yield statement I have access to all three variables, which is the way you would expect scoping to work if these were statements.



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So every <u>functional effect</u> is an <u>immutable data type</u>, together with the <u>operations</u> it provides for addressing some business <u>concern</u>, and at the end of the day, <u>every functional effect</u> <u>system</u>, <u>we need to be able to interpret it into something else that gives it meaning</u>. This <u>interpretation</u> is fold on Option, it is <u>unsafeRun on Task</u>, there is always an <u>interpretation</u> function for all of these, it is run on the State Monad.

It allows us to take this model that describes our business concern and <u>translate</u> it into something that we can use.

Let's take a brief look at some of the **effects** out there in the wild, some of which you have already seen because they are built into **Scala**, but a couple of which may be new for you.

#### Option[A] - the functional effect of optionality



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```
@jdegoes
```

First the effect of optionality. Either something is there or it is not:

```
sealed trait Option[+A]
final case class Some[+A](value: A) extends Option[A]
case object None extends Option[Nothing]
```

The core operations of Option are the Some and None constructors and map and flatMap.

```
// Core operations:
def some[A](v: A): Option[A] = Some(v)
val none: Option[Nothing] = None
def map[A, B](o: Option[A], f: A => B): Option[B]
def flatMap[A, B](o: Option[A], f: A => Option[B]): Option[B]
```

And then its **execution**/**interpretation** is the **fold** function on **Option**:

```
// Execution / Interpretation:
def fold[Z](z: Z)(f: A => Z)(o: Option[A]): Z
```

We specify what to do, what to return if it wasn't there and what to return if it was there.

### Option[A] - the functional effect of optionality



John A De Goes

```
@jdegoes
```

And we can use it in **for comprehensions**, like I showed before:

```
for {
  user <- lookupUser(userId)
  profile <- user.profile
  pic <- profile.picUrl
} yield pic</pre>
```

#### **Either**[A,B] – the <u>functional effect</u> of failure



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The <u>functional effect</u> of <u>failure</u> is used when we have computations that may fail with a specific type of value. <u>Either</u> has two types of value, a left and a right. <u>Left</u> is used to indicate failure and <u>Right</u> is used to indicate success:

```
sealed trait Either[+E, +A]
final case class Left[+E](value: E) extends Either[E, Nothing]
case class Right[+A](value: A) extends Either[Nothing, A]
```

Its core operations are constructing a left and a right, mapping and flatMapping:

```
// Core operations:
def left[E](e: E): Either[E, Nothing] = Left(e)
def right[A](a: A): Either[Nothing, A] = Right(a)
def map[E, A, B](o: Either[E, A], f: A => B): Either[E, B]
def flatMap[E, A, B](o: Either[E, A], f: A => Either[E, B]): Either[E, B]
```

And then to execute or interpret an Either we fold over it

```
// Execution / Interpretation:
def fold[Z, E, A](left: E => Z, right: A => Z)(e: Either[E, A]): Z
```

specifying what to do on the left hand case and what to do on the right hand case.

#### **Either**[A,B] – the <u>functional effect</u> of failure



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@jdegoes

And because this supports map and flatMap we can use it in for comprehensions, in which case we are flatMapping over the success case

So if there is a Left case, if one of these methods, like decodeProfile returns Left, that short-circuits the entire computation, we achieve the short-circuiting behaviour of exception handling without actually having exceptions in our code.

#### Writer[W,A] - the functional effect of logging



John A De Goes

```
@jdegoes
```

The Writer functional effect is less familiar, you may not have seen this before. Writer is actually dual to Either, only in this case I am using a very specialised variant of Writer that happens to be the most common. Writer is basically a tuple. On the LHS it accumulates a vector of some type W, that's your log. So Writer allows you to log stuff, like log strings, whatever, and those get accumulated on the LHS of the tuple. And every Writer effect can also produce a value of type A. So the Writer functional effect, it cannot fail, it can only succeed, and it can accumulate a log as you are succeding with values of different type.

```
final case class Writer[+W, +A](run: (Vector[W], A))
```

The **core operations** of **Writer** are **pure**, which allows you to **lift a value into the Writer effect**, **write**, which allows you to **add to that log**, and then **map** and **flatMap** like we have seen before. :

```
// Core operations:
def pure[A](a: A): Writer[Nothing, A] = Writer((Vector(), a))
def write[W](w: W): Writer[W, Unit] = Writer((Vector(w), ()))
def map[W, A, B](o: Writer[W, A], f: A => B): Writer[W, B]
def flatMap[W, A, B](o: Writer[W, A], f: A => Writer[W, B]): Writer[W, B]
```

And then how you run that, you just pull out the tuple of the Vector and then your success value:

```
// Execution / Interpretation:
def run[W, A](writer: Writer[W, A]): (Vector[W], A)
```

That gives you the log and then the value that the Writer data type succeeded with.

#### Writer[W,A] - the <u>functional effect</u> of <u>logging</u>



John A De Goes



Because it has map and flatMap like the other ones you can use this inside for comprehensions

```
for {
  user <- pure(findUser())
    _ <- log(s"Got user: $user")
    _ <- pure(getProfile(user))
    _ <- log(s"Got profile: $profile")
} yield user</pre>
```

And you can interleave, for example, success values with log statements, and you end up accumulating those log statements, in this case strings, inside the vector that you get when you run that functional effect.



In the above, either log should be write or log is an alias for write

#### **State**[S,A] – the <u>functional effect</u> of state



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State is another very common <u>functional effect</u> that <u>allows you to model stateful computations</u>. And the State <u>functional effect</u> is <u>basically a function</u>. At least this is the short-circuited version. We could do the full on different instruction version that I did for <u>optionality</u> but we were only going to do that once. Here we are taking a shortcut and we are defining it as a function that takes the old state and returns the new state and a value of type A. So State <u>cannot fail</u>. State can only change the <u>state</u>, when you call <u>run</u>, it can change the <u>state</u>, and it is always going to succeed with a <u>value</u> of type A.

```
final case class State[S, +A](run: S => (S, A))
```

The **core operations** of **State** are to take an **A value** and to succeed with that **value** without changing **state**, to get the **state** and to set the **state**, and then of course **map** and **flatMap**, like we have seen with all these **functional effects**:

```
// Core operations:
def pure[S, A](a: A): State[S, A] = State[S, A](s => (s, a))
def get[S]: State[S, S] = State[S, S](s => (s, s))
def set[S](s: S): State[S, Unit] = State[S, S](_ => (s, ()))
def map[S, A, B](o: State[S, A], f: A => B): State[S, B]
def flatMap[S, A, B](o: State[S, A], f: A => State[S, B]): State[S, B]
```

To run a **State** we have to supply the initial **state** as well as the **state** type, and then out of that we get the new **state** and the **success value**:

```
// Execution / Interpretation:
def run[S, A](s: S, state: State[S, A]): (S, A)
```

#### **State**[S,A] – the <u>functional effect</u> of state



John A De Goes

```
@jdegoes
```

Because this <u>functional effect</u>, like the other ones, supports <u>map</u> and <u>flatMap</u>, it means that we can use it inside <u>for comprehensions</u>:

```
for {
    _ <- set(0)
    v <- get
    _ <- set(v + 1)
    v <- get
} yield v</pre>
```

And we can write code that looks like this, like it is actually incrementing stuff. It is setting a value to be zero, it is getting it, setting it to zero plus one, and then it is getting it again, and if you actually run that <u>functional effect</u>, then you are going to end up with 1 out of that, which is what you would expect, it looks like procedural code but in fact it is not, it is purely functional and it is operating on <u>immutable</u> data.

#### **Reader**[R,A] – the <u>functional effect</u> of reader



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Another less common type is the Reader <u>effect</u>, and the Reader <u>functional effect</u> allows us to thread access to some environment of type R throughout our program without having to do any of that plumbing. And we can access that R at any point we want. So it is there, always in the background, it is like a context, it is the environment in which our program runs, and we can pull it out of thin air any time we want, but we don't have to deal with it unless we want to. And it can be defined by a simple function from R to A:

```
final case class Reader[-R, +A](run: R => A)
```

The core operations are pure, like we have seen before, allowing us to take an A and lift it up into an <u>effect</u>, the <u>Reader functional effect</u>, <u>environment</u>, which basically allows us to pull that R into the <u>success value</u> of the <u>Reader</u>, and then <u>map</u> and <u>flatMap</u>:

```
// Core operations:
def pure[A](a: A): Reader[Any, A] = Reader[Any, A](_ => a)
def environment: Reader[R, R] = Reader[R, R](r => r)
def map[R, A, B](r: Reader[R, A], f: A => B): Reader[R, B]
def flatMap[R, A, B](r: Reader[R, A], f: A => Reader[R, B]): Reader[R, B]
```

And then to <u>execute</u> or <u>interpret</u> this <u>functional effect</u> we have to give it an R. That's the R required by the Reader, and then it can give us back the A:

```
// Execution / Interpretation:
def provide[R, A](r: R, reader: Reader[R, A]): A
```

#### **Reader**[R,A] – the <u>functional effect</u> of reader



John A De Goes



Because it supports map and flatMap, we can use this in for comprehensions:

```
for {
  port     <- environment[Config].map(_.port)
    server     <- environment[Config].map(_.server)
  retries <- environment[Config].map(_.retries)
} yield (port, server, retries)</pre>
```

In this case I just pull the config out of the environment and I separately pull out the port and the server and the retries and I yield a tuple of the results.

#### **IO**[A] – the <u>functional effect</u> of asynchronous input/output



John A De Goes



And finally, the last <u>functional effect</u> that we'll look at is the <u>effect</u> of <u>asynchronous input and output</u>, and you can define your own very simple type for <u>async I/O</u>, by creating a case class with that <u>unsafeRun</u> signature. The <u>unsafeRun</u>, you give it a <u>callback and it will call it at some point in the future</u>. This is the essence of <u>asynchronous I/O</u>:

```
final case class IO[+A](unsafeRun: (Try[A] => Unit) => Unit)
```

And the core operations are sync for synchronous I/O, async for asynchronous I/O, fail, if you want to fail this thing, and then map and flatMap:

```
// Core operations:
def sync[A](v: => A): IO[A] = IO(_(Success(v)))
def async[A](r: (Try[A] => Unit) => Unit): IO[A] = IO(r)
def fail(t: Throwable): IO[Nothing] = IO(_(Failure(t)))
def map[A, B](o: IO[A], f: A => B): IO[B]
def flatMap[A, B](o: IO[A], f: A => IO[B]): IO[B]
```

And then unsafeRun, you have to give it the IO which you want to run and then you give it a callback and it will call your callback at some point later with either a success or a failure:

```
// Execution / Interpretation:
def unsafeRun[A](io: IO[A], k: Try[A] => Unit): Unit
```



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So what do all these things have in common? They are all immutable <u>data structures</u>. Every single one of them.

They are all equipped with operations that allow us to compose these things together.

Nearly all of them supported, actually all of them, supported **pure** and **map** and **flatMap**, which **allow us to build up and compose sequential things together**, which is very very common when you are dealing with **functional effects**.

And then all of them, without exception, had some way to interpret or execute them.

These are the building blocks of functional effects.

<u>Functional effects</u> are always, always, always, immutable data types that declaratively describe a bunch of different operations in some business domain, that you can end up interpreting to translate into something that is lower level than that specific concern, like we can <u>translate away from</u> optionality by providing a default value. You can <u>translate away from</u> error handling by unifying the left and right of Either, and so on and so forth, they all allow us to <u>escape</u> that concern and move it into something that's lower level, which is a key property of building programs compositionally and modularly.



I liked John's talk a lot. I found it very instructive. There is a lot more great content in it. Go take a look, if you haven't already.







John A De Goes





https://www.slideshare.net/jdegoes/one-monad-to-rule-them-allhttps://youtu.be/POUEz8XHMhE

